



JE

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b>  <b>G06K 7/10</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 93/17397</b>  <b>(43) International Publication Date:</b> 2 September 1993 (02.09.93)
<b>(21) International Application Number:</b> PCT/US93/01706 <b>(22) International Filing Date:</b> 25 February 1993 (25.02.93)  <b>(30) Priority data:</b> 843,266 27 February 1992 (27.02.92) US 956,646 2 October 1992 (02.10.92) US 965,991 23 October 1992 (23.10.92) US  <b>(71)(72) Applicant and Inventor:</b> ROUSTAEI, Alex [FR/US]; 1696 Via Inspirar, San Marcos, CA 92069 (US).  <b>(74) Agents:</b> MUSICK, Eleanor, M. et al.; Brown, Martin, Haller & McClain, 110 West "C" Street, Suite 1300, San Diego, CA 92101 (US).		<b>(81) Designated States:</b> AU, BB, BG, BR, CA, FI, HU, JP, KP, KR, LK, MG, MN, MW, NO, NZ, PL, RO, RU, SD, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> OPTICAL SCANNING HEAD  <b>(57) Abstract</b>  The optical scanning head for scanning one- and two-dimensional bar codes includes at least one combination of light emitting diodes arranged so the LEDs emit light at different angles to create a fan of light. The combination and arrangement of the LEDs depends on whether one- or two-dimensional bar codes are to be read. A cylindrical lens optimizes the light impinging upon the bar code. An optical module includes a light shield or "dark room" and a lens/filter assembly which focusses reflected light on the detector, made up of a CCD module for detecting the light intensity in the reflected beam over a field of view across a bar code symbol. One or more combinations of linear CCD arrays in the CCD module generate an electrical signal indicative of the detected light intensity. A trigger mechanism allows selection of the number of LEDs or linear CCD arrays to efficiently scan one- or two-dimensional bar codes, where two-dimensional bar codes require more illumination for accurate scanning.		

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BG	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SK	Slovak Republic
CI	Côte d'Ivoire	LI	Liechtenstein	SN	Senegal
CM	Cameroon	LU	Luxembourg	SU	Soviet Union
CS	Czechoslovakia	LK	Sri Lanka	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	MC	Monaco	UA	Ukraine
DK	Denmark	MG	Madagascar	US	United States of America
ES	Spain	ML	Mali	VN	Viet Nam
FI	Finland	MN	Mongolia		

### OPTICAL SCANNING HEAD

This is a continuation-in-part of application Serial No. 07/956,646, filed October 2, 1992, which is a  
5 continuation-in-part of application Serial No. 07/843,266, filed February 27, 1992, now abandoned.

### FIELD OF THE INVENTION

10 The invention generally relates to a scanning system for reading and/or analyzing bar code symbols and more particularly, to a portable bar code scanner.

### BACKGROUND OF THE INVENTION

15 Many industries, including the assembly processing, grocery and food processing industries, utilize an identification system in which the products are marked with a bar code symbol consisting of a series of lines and  
20 spaces of varying widths. A number of different bar code readers and laser scanning systems have been developed to decode the symbol pattern to a multiple digit representation for inventory, production tracking, and for check out or sales purposes. Optical scanners are  
25 available in a variety of configurations, some of which are built into a fixed scanning station and others of which are portable. The portability of an optical scanning head provides a number of advantages, including the ability to inventory products on shelves and to track portable items  
30 such as files or small equipment. A number of these portable scanning heads incorporate laser diodes which permit the user to scan the bar code symbols at variable distances from the surface on which the bar code is imprinted. A disadvantage of laser scanners is that they  
35 are expensive to manufacture.

Another type of bar code scanner which can be incorporated into a portable system uses light emitting diodes (LED) as a light source and charge couple devices (CCD) as detectors. This class of bar code scanners is generally known as "CCD scanners". While CCD scanners have the advantage of being less expensive to manufacture, they limit the user to scanning the bar code by either contacting the surface on which the bar code is imprinted or maintaining a distance of no more than one and one-half inches away from the bar code, which creates a further limitation in that it cannot read a bar code any longer than the window or housing width of the scanning head. Thus, the CCD scanner does not provide the comfort or versatility of the laser scanner which permits variable distance scanning of bar code symbols which may be wider than the window or housing width.

Recently, considerable attention has been directed toward two-dimensional bar codes, which can store about 100 times more information in the same space occupied by a one-dimensional bar code. In two-dimensional bar coding, rows of lines and spaces are stacked upon each other. The codes are read by scanning a laser across each row in succession in a zig-zag pattern. This scanning technique introduces the risk of loss of vertical synchrony. It also has the disadvantage of requiring a laser for illumination of the bar code, which makes the scanner more expensive.

#### SUMMARY OF THE INVENTION

It is an advantage of the present invention to provide an optical scanning head for reading bar code symbols at variable distances from the symbol which uses LED light sources and CCD detectors.

In an exemplary embodiment, the optical scanning head comprises a plurality of light emitting diodes arranged in

close proximity to each other with each of the LEDs being oriented to emit light at different angles so that a fan of light is created. Generally, LEDs are also oriented so that all LEDs are directed at some non-zero angle from a line perpendicular to the window through which the light is emitted. The number of LEDs can be varied depending on the intensity of light desired and the application. For example, a scan of a two-dimensional bar code will require more LEDs, generally in a double light arrangement, while a one-dimensional bar code needs only one row. A single scanning head can be made with the ability to select one- or two-dimensional scanning by including a trigger or other switching means which activates the appropriate number of LEDs. The LEDs can be arranged in a number of different groupings, such as in a "V"- or "U"-shape, or in single or parallel lines. In an alternate embodiment, the LED array can be replaced with a flash lamp, which provides more intense light for scanning at greater distances.

An optical module includes a light shield or "dark room" and a lens/filter assembly which provides control of the depth of focus of the scanner. The optical module is located behind the light source, and the detector, made up of a CCD array is mounted behind the optic module for detecting the light intensity in the reflected beam over a field of view across a bar code symbol. The CCD array may consist of a single linear arrangement, a double linear arrangement, or a matrix arrangement. The CCD array generates an electrical signal indicative of the detected light intensity. This signal is conveyed to a signal converter consisting of an analog filter and analog-to-digital conversion circuitry to filter noise and digitize the analog signal to generate data descriptive of the bar code symbol. Gain control, which may or may not be automatic, edge detection or highly adaptive thresholding is provided to adjust the magnitude of the received signal

to a pre-determined level, regardless of the distance between the bar code and the scanner and the ambient illumination. Each of these techniques look, in effect, at the slope of the waveform produced when a bar code is scanned (while the ideal signal would be a set of rectangular pulses, the real signal has a rounded form because of the convolution distortion)..

A light transmissive window is positioned in front of the LEDs for manipulating and focussing the light. The window may include a filter and/or anti-reflection coating. The window may be configured to have a double radius for focussing at two different focal distances, and may be "knurled" or scalloped to homogenize the light. For optimum efficiency, the window is located at a distance in front of the LEDs coinciding with the greatest concentration of light.

The optical scanning head is powered by a D.C. source or battery, preferably rechargeable, which provides DC voltage to the LEDs and CCDs in response to a clocked signal which is provided by a drive clock sequencer and synchronization module. The timing signal can control a gradual, serial illumination of the LEDs and coordinates the activation of the CCDs in order to minimize power consumption during scans. Alternatively, the voltage supplied to the LEDs can be modulated in response to the level of the signal produced by the CCDs. If a bar code is scanned at close range, a lower level of light will provide a strong signal. However, at greater distances from the bar code, higher light intensity is necessary to achieve a good quality signal at the CCDs. Power is conserved in the latter version by not providing full power to the LEDs unless necessary.

The timing signal may also be used to control an optional electronic shutter which periodically closes to create "snapshots" of the bar code. This preserves the

integrity of the bar code pattern signal while the scanner or the bar code is in motion.

5

## BRIEF DESCRIPTION OF THE DRAWINGS

Understanding of the present invention will be facilitated by consideration of the following detailed description of a preferred embodiment of the present  
10 invention, taken in conjunction with the accompanying drawings, in which like reference numerals refer to like parts and in which:

Figure 1 is a diagrammatic view of the relative layout of the LEDs, optical module, and detector on a printed  
15 circuit board;

Figures 2a, 2b and 2c are diagrammatic views of relative locations of LEDs for one trio, two trios and three trios of LEDs, respectively;

Figure 3 is an exploded view of the lens assembly;

20 Figure 4 is a perspective view of an assembled optical scanning head including a housing;

Figure 5 is a cross-sectional view along line 5-5 of Figure 4;

Figure 6 is a circuit diagram of the LED board for the  
25 12 LED configuration of Figure 1;

Figures 7a through 7g are plots of clock pulses for different timing configurations with respect to activation of the scanner (Figure 7a); alternate illumination cycles (Figures 7b, 7c and 7d) according to the present invention;  
30 analog reset of the detector (Figure 7e); and illumination patterns according to prior art methods 1 and 2 (Figures 7f and 7g);

Figure 8 is a block diagram of the operational sequence of the optical scanning head according to the  
35 present invention;

Figure 9 is a diagrammatic view of an alternate LED layout and the corresponding light distribution;

Figure 10 is a diagrammatic view of a second alternate LED layout and its corresponding light distribution;

5        Figure 11 is a diagrammatic view of a third alternate LED layout and its corresponding light distribution;

Figure 12 is a block diagram of the scanning device;

Figure 13a and 13b are front views of air slits for one-dimensional and two-dimensional applications,  
10        respectively;

Figures 14a and 14b are diagrammatic views of a knurled cylindrical lens with a concave and convex output edge, respectively;

Figure 15 is a diagrammatic view of a double radius  
15        cylindrical lens;

Figure 16 is a block diagram of the CCD module;

Figure 17 is a side elevation, partially cut away, of the invention utilizing the double radius cylindrical lens;

Figures 18a through 18f are diagrammatic views of LED  
20        orientation for two-dimensional scanning, Figure 18a showing parallel, and Figure 18b perpendicular, to the front of the printed circuit board, Figure 18c shows a two-tiered arrangement of the LEDs, Figure 18d shows two vertical rows of LEDs, Figure 18e and 18f show a  
25        combination horizontal and vertical arrangements;

Figure 19 is a diagrammatic view of a single light source with a parabolic reflector;

Figures 20a through 20d are plots of intensity with pixel number for an actual image (20a), a dark frame (20b),  
30        a flat field (20c) and a corrected image (20d); and

Figure 21 is a plot of a filter transfer function.



## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The scanning head module illustrated in Figure 1  
5 comprises the printed circuit board (PCB) 2 configured as  
a generally "U"- or "Y"-shaped surface onto which is  
mounted a plurality of LEDs (from 3 to 24 individual LEDs)  
configured in a "V"-shaped, "U"-shaped, or linear pattern  
in an orientation that results in projection of a light ray  
10 by one LED in a direction distinct from other LEDs in the  
trio. The configuration illustrated in Figure 1 has 12  
LEDs mounted on the PCB 2 in a "V"-shape. These are  
identified as LEDs 4 through 15, which emanate rays of  
light 104 through 115. The portion of printed circuit  
15 board 2 from which LED light emanates will be considered  
the front of the board. A line of reference for describing  
the orientation angles of the LEDs runs perpendicular to  
the front of the PCB 2. At the approximate center of  
board, behind the LEDs, an optical module 17 consisting of  
20 a light shield (dark room 16), in which is contained lens  
assembly 18 which filters and focuses light reflected from  
a scanned bar code onto CCD detector 20, disposed behind  
the optical module at the rear of PCB 2. A signal  
generated by activation of the CCDs by the reflected light  
25 is conveyed to signal converter 22, for the one-dimensional  
scanner, which consists of an analog filter and an analog-  
to-digital circuit, or by signal converter 22' for the two-  
dimensional scanner. Forward of the LEDs, either attached  
to the PCB 2 or mounted within a housing containing PCB 2,  
30 is window 24 which is light transmissive and provides  
filtering, focusing and positioning of the light path of  
the illuminating beam incident upon the bar code to be  
scanned. The reflected light carrying the intensity  
modulated bar code signal is directed back to the lens  
35 assembly and to the detector.

The scanning head may also include a decoder module 26 which decodes a multiple-digit representation of bar code symbols such as UPC, EAN, JAN, Code 39, Code 2/5I, Code 2/5, Code 128, Codabar, Plessey, and other bar code systems. In the two-dimensional bar code reader, a memory buffer 88, shown in Figure 12, will store the two-dimensional image prior to decoding by decoder module 26.

The first embodiment of the light source illustrated in Figure 1 consists of 12 LEDs which are mounted in a generally V-shaped configuration relative to other LEDs so that they point outward at angles such that groupings of three on a single leg, e.g. LEDs 4, 5 and 6 or 13, 14 and 15 form substantially a single beam of light which expands at increased field depth. The actual grouping of the LEDs is best illustrated in Figures 2a, b and c. Here, the 12 LEDs are divided into groups of three, or trios, of LEDs. From this it can be seen that a given trio of LEDs is not determined by serial progression of the LED's location in the V-configuration, but rather that the combined illumination of the trio substantially fills the window 24 and expands therefrom to form a fan of light to facilitate detection of bar codes which are wider than the window itself.

In Figure 2a, LEDs 6, 10 and 13 make up the first trio. Light rays 106, 110 and 113, shown in Figure 1, fill a substantial portion of the window 24. The second trio of LEDs comprises LED 5, LED 10 and LED 14, which are added to the first trio, as shown in Figure 2b. The beams emanating therefrom, rays 105, 110 and 114, shown in Figure 1, supplement rays from the first trio to fill window 24 and expand therefrom. Figure 2c illustrates the location of the third trio consisting of LEDs 7, 11 and 12. Rays 107, 111 and 112 emanate therefrom to supplement the light from the first two trios. The fourth trio is made up of LEDs 4, 8 and 15 with their respective rays 104, 108 and 115. The

specific LEDs included within a given trio are variable as long as the resultant fan of light substantially fills the window 24. As will be described below, the designated grouping of LEDs, whether in a trio or some other number greater than 2, may be sequentially illuminated in order to conserve the energy of the power source. For example, the configuration having twelve LEDs can also be divided into two sextets or three quartets. Similarly, if 24 LEDs are used, the groupings can consist of three, four, six, eight, or twelve LEDs. The grouping of the LEDs is significant when sequential or graduated illumination is used.

Alternate configurations for arrangement of the LEDs can be a "U"-shape or a generally straight line across the front of the board, as illustrated in Figure 11. For two-dimensional bar code scanning, the variations can include two linear rows of LEDs, parallel to the front of the PCB 2, or two linear rows of LEDs perpendicular to the front of the board, as illustrated in Figures 18a and 18b, respectively. Combinations of parallel and perpendicular rows may also be used. A multi-tiered arrangement can also be used for positioning of the LEDs, with, for example, one row above another, as in Figure 18c, or with two vertical rows extending upward from PCB 2, as in Figure 18d, or any combination thereof. In Figure 18e, a possible combination consists of one row of LEDs running across the upper row, and a single LED on one side, and in Figure 18f, a single LED is located on each of four sides in a vertical plane. In each case, the fan of light is created by orienting the LEDs at different angles. In the straight line configuration of Figure 11, the centermost LEDs 209 and 210, are turned to point away at an angle of 1.625 degrees from a line normal to the front of the board. Progressing outward, each LED is 3.25 degrees from its inner adjacent LED.

The LEDs are selected so that they emit light at the wavelength of 660 nanometer, red light within the visible spectrum. This wavelength provides optimal contrast for bar code scanning applications in which dark and light bars must be distinguished. Infrared light also provides enhanced contrast, so that LEDs emitting light outside of the visible spectrum may be used.

An alternate light source is a flash lamp 130 or any other intense light source, illustrated in Figure 19. Flash lamps are available to emit light at a number of different wavelengths. The wavelength at which the selected flash lamp emits light will be determined by the color of the bar code and its background, so that the best possible contrast is obtained. A parabolic reflector 132 is included within the optical scanning head assembly with the flash lamp 130 at its center. This allows the maximum amount of light to be directed forward for illumination of the bar code. The higher intensity light will permit scanning at distances greater than 35 inches.

The optic module 17 consists of three lenses mounted inside a slidable lens support 30, all of which are retained within dark room 16. The selection of the three lenses of which the lens assembly 18 is formed depends upon the desired reference plane, i.e., the desired depth of field, which is the distance between the detector 20 and the bar code being scanned, so the reflected light is appropriately focused on the detector array. The lens assembly 18 consists of a plano-convex lens 32 followed by a bandpass filter 34, a biconcave lens 36 followed by an optical diffuser 28 and a focussing and receiving singlet lens 40. Lens 40 is important to the success of the invention since it determines the beam diameter impinging upon the detector array, and concentrates the beam to provide the maximum available light at the detector. In a two-dimensional scanner, the image is focussed on the

detector array, without distortion, by a plano-convex lens instead of the focussing singlet. The lenses may be coated with an anti-reflection coating and/or a pass-band coating to minimize reflectance at the interfaces between the adjacent lenses and at the ends of the lens assembly.

The optimum depth of field can be adjusted by moving the lens assembly forward or backward in its slidable mounting 21 with respect to the detector. This will modify the focal point on the detector so that it can be fine tuned for a desired scanning height.

A spatial filter may be included within the optical module, disposed adjacent to or even integral with the lens assembly 18. The spatial filter 42 is an air slit with an orientation and configuration corresponding to the shape of the bar code being scanned. For a one-dimensional bar code, a single slit, illustrated in Figure 13a, is used. The slit is oriented horizontally so that it is parallel to the direction in which the bar code is scanned. For two-dimensional bar codes, a crossed slit pattern is used to provide a two-dimensional spatial filter 42', as shown in Figure 13b. The spatial filter 42 or 42' may be positioned on front of or behind lens 32. The spatial filter 42 or 42' and the bandpass filter 34 may also be formed as a unit, with the slit pattern formed directly on filter 34. Spatial filter 42' may also be used for one-dimensional bar code applications. The horizontal and vertical slits may each have the same dimensions as the single slit, or the dimensions of the vertical and horizontal slits may differ from each other. The light absorber/diffuser 28 is in the form of a funnel having an aperture with its wider end facing towards the detector end of the lens assembly. The funnel allows absorption and concentration of light diffracted from the edges of the lenses. The bandpass filter 34 serves to block any radiation which falls outside of a wavelength range centered around 660 nm (or

wavelengths surrounding infrared light for the infrared scanner). For the visible light system, it is particularly desirable to filter the infrared and other visible portions of the light spectrum which may reach the window from the sensing region to provide optimal contrast. This improves resolution of bar codes read at a distance shorter than the depth of field.

The window 24 has a generally "Z"-shaped profile, one leg of which extends in front of the optical assembly 18 and holds or consists of a bandpass filter 25 centered at approximately 660 nm (for the visible light scanner) and a light equalizer/diffuser, and the other leg which holds a cylindrical lens 38, which focuses the light along one axis to form a plane of light, with a line of light being created at its focal point. When the bar code is scanned at the precise focal point of the cylindrical lens 38, the maximum possible light will be reflected to the detector. The function of the window's components is to suppress radiation noise from the LEDs, to form a homogeneous incident beam for illumination of the bar code, to collimate the beam, and to filter the reflected light by removing extraneous light which falls outside of the predetermined acceptable bandwidth range of 660 nm.

The cylindrical lens 38 may be modified to provide a uniform distribution of light at the focal point. This "homogenization" is provided by knurling or scalloping the input side 90 of the lens, as shown in Figure 14. Each step 92 in the knurled edge 90 acts as a "mini-lens" which spreads the light entering the cylindrical lens at that point. The spread light from each mini-lens overlaps other spread light to homogenize the light at the focal point of the cylindrical lens. The focal point of the cylindrical lens is determined by the outer side 94. Ideally, the placement of the cylindrical lens 38 with respect to the LEDs is determined by the point at which the LEDs combine

to produce the most concentrated light. In Figure 9, this point is along line 75.

5 In conjunction with the knurled input edge, the output edge of the cylindrical lens can be either concave or convex. The concave edge 94' is shown in Figure 14a, and the convex edge 94 is shown in Figure 14b. The concave edge 94 is selected for scans of two-dimensional bar codes at distances from contact to 3 inches. The convex edge 94 is used for scan distances greater than 3 inches.

10 Another modification of the cylindrical lens is illustrated in Figure 15. The double radius creates, in effect, two separate cylindrical sub-lenses 96 and 98, each with a different focal length. The light emitted by the LEDs will be focussed by both sub-lenses 96 and 98 so that  
15 two different lines of focussed light are created at different angles from the lens, as shown in Figure 17. This lens provides greater variability in the distance at which a bar code can be accurately read without requiring a change in the cylindrical lens or a compromise in the  
20 strength of the signal.

The detector module 20 consists of an array of charged coupled devices (CCD) which are arranged in equally spaced pixels, and may include additional processing elements which are shown in Figure 16. The spacing of the pixels  
25 determines the limit of the resolution of the detector, so it is necessary to match the CCD resolution to the required spatial resolution in the image plane in which the detector lies. The magnification of the lens system should be chosen so that at least 2 CCD pixels cover the minimum bar  
30 width to be resolved in the image plane. This is especially important for bar codes printed with a dot matrix printer.

The arrangement of the CCD array will depend on the application. For a one-dimensional bar code, a single  
35 linear array of CCDs is acceptable. For two-dimensional

bar codes, a single linear array can be used by moving the scanning head from the top to the bottom of the bar code. However, to provide better resolution, two parallel lines of CCDs or a full area array of CCDs can be used. The use  
5 of multiple rows of CCDs permits use of an auto-correction technique in which the signal read by one row of CCDs can be double-checked by a second row.

Portions of the double line CCD array or the area array can selectively turned on and off by connecting the  
10 CCD module controller to an external trigger or switch which has multiple selections. This will allow a one-dimensional bar code to be read by a two-dimensional scanner, while conserving power by using only as much of the CCD array as needed.

15 Three types of CCDs, which are known in the art, may be used for the area array of the two-dimensional bar code reader. The first type is the full frame CCD which has a single parallel register for photon exposure, charge integration and charge transport. A shutter is used to  
20 control the exposure and to block light from striking the CCD during readout.

The second type, the frame transfer CCD, has a parallel register which is composed of two CCDs that are arranged in tandem. One of these CCD registers is the  
25 storage array which is covered with an opaque mask and provides temporary storage for collected charge during readout. The other CCD register, the image array, is identical in capacity to the storage array and is used to collect the image. After the image array is exposed, the  
30 electronic image it generates is shifted into the storage array for readout. While the storage array is read, the image array can collect charge for the next image.

The third type of CCD is the interline transfer CCD. This CCD has a parallel register that is subdivided so that  
35 the opaque storage register lies between the columns of



pixels. The electronic image accumulates in the exposed area of the parallel register. For readout, the entire image is shifted under the interline mask. The CCD shift register also lies below the interline masks. The readout occurs the same as in the frame transfer CCD.

The area array 102, which is included in the CCD module 20 illustrated in Figure 16, operates in an interlaced mode. This mode consists of shifting the potential wells by one-half pixel in alternate fields, "fields" referring to the field of view of the scanning head. This shift is possible due to the multiple phase arrangement of gate structures in the CCD which allows sequential propagation of the collected charges in the potential wells, as is known in the art. This results in two successive fields containing different information, given a certain number of line images with reduced aliasing. During the exposure, or integration, period, charge is accumulated on each pixel in proportion to the incident illumination. Every line includes a certain number of pixels. A transfer pulse will "readout" the charge on each line to generate an analog signal. The combined readouts of each line represent the two-dimensional bar code. The information will be conditioned and stored in memory buffer 88 in order to be decoded by decoder 26. After the transfer has occurred, the area array 102 will return to its integration state to accumulate charge for the next field.

In order to obtain the optimum performance, a calibration of the dark levels and shading correction must be made. A dark frame is stored and subtracted from an image to yield a zero reference.

A shading correction frame, or flat field, is required to make correction for variations in system responsivity. Because the CCD has excellent linearity, only one flat field must be taken to correct an image anywhere within the

available dynamic range at a specific wavelength (660 nm). Shading varies with wavelength, and flat fields are often obtained at many wavelengths. A calibration sequence requires taking the image of interest  $I_R$ , a dark frame,  $I_D$ ,  
5 and a flat field,  $I_F$ . An arithmetic pixel-by-pixel computation yields a corrected image with photometric integrity  $I_C = (I_R - I_D)/(I_F - I_D)$ .

The drive clock sequencer and synchronization module 106 utilizes a single quartz crystal or external clock to  
10 provide all necessary logic and synchronization signals. A TTL/MOS buffer 104, as is known in the art, is included as an interface between the area array 102 and the sequencer module 106.

An anti-blooming device may also be included in the  
15 detector module 20. The antiblooming device, which operates on each pixel, consists of a diode that is separated from the photo site by a potential barrier. This potential barrier is controlled by a dedicated gate, clocked by a clock gate control. As a result, the excess  
20 charges give rise to a very weak current, so that the efficiency of the anti-blooming device is virtually unlimited.

Other secondary effects that limit the overillumination resistance are: 1) smearing, due to the  
25 passage of each column pixel along the overilluminated element during the transfer of the image to the memory; and, 2) carrier diffusion in the substrate, which is linked to the probability of collecting an electron generated under one pixel in the neighboring well. Although this  
30 effect is hardly perceptible on a "soft" image, it becomes of significant importance when there is a strongly overilluminated zone, such as might occur when reading in sunlight.

A pixel defect corrector 108 is included in the CCD  
35 module to manage pixel defect correction. The pixel defect

corrector operates in association with the memory buffer 88. It operates by replacing the signal of the defective pixel or pixels on a line with the signal produced by the last non-defective pixel. This data substitution is  
5 carried out through a sample and hold circuit with an inhibiting input, located downstream of the CCD output and before the video signal conditioning and/or processing.

The positions of the defective pixels are mapped in the memory buffer 88 in terms of their relative x,y  
10 coordinates. These are quantified by the number of lines in the CCD's memory zone and the number of lines of clock pulses at which the defects appear. Likewise, the first defective pixel is picked out relative to the frame synchronization pulse. Defective pixels may be picked out  
15 through one or two synchronization pulses and clock pulses.

In the two-dimensional bar code application, the horizontal x-axis resolution is much more important than that of the y-axis. Therefore, CCD binning can be used in order to significantly increase the depth of field.  
20 Binning is the process of combining charge from adjacent pixels into "superpixels" during readout. Binning improves the signal-to-noise ratio and allows the dynamic range of the CCD to be expanded at the expense of spatial resolution.

25 Electrical power is provided to the CCD array by D.C. source or battery 46. In a first embodiment of the invention, the supply of power to the CCD array is coordinated by a clocking signal with the sequential or graduated illumination of the LEDs. Specifically, as seen  
30 in Figure 7e, a clock signal (from clock 50) is provided to the CCD array to clear it in coordination with the activation of the LEDs. The CCD array can be scanned at a variable rate, from 36 to 200 scans per second, with the rate being determined by the density of the bar codes being  
35 scanned. The scan rate is set by the system clock which

then modifies the illumination sequence of the LEDs so that the coordination illustrated in Figures 7a-7e will be maintained. The determination of scan rate can be pre-programmed and can be initiated by scanning a bar code with  
5 the scanning rate set-up information, or other relevant information, prior to measurement of the bar codes of interest.

The processing sequences are shown in Figures 8 and 12. For one-dimensional operation (following the first  
10 path in Figure 8), the signal provided by the CCD array will be amplified then processed through a low pass two pole filter and a high pass five pole filter (shown combined as filter 52) which will extract the useful signal any noise generated either by the incoming light or by the  
15 CCD array itself. An optional automatic gain control (AGC) 54 will adjust the level of the signal to a pre-determined level. The level of the signal entering the AGC is a function of the distance at which the bar code is scanned. The greater the distance that the scanner is held above the  
20 scanned code, the weaker the signal will be. A filtered signal will then provide a voltage to a circuit which determines the real time center point ("zero crossing") reference level to a comparator which will convert the analog video output signal to a digital signal representing  
25 the bar code. The use of an automatic comparator control (ACC) 56 will avoid noise generated by hysteresis and will avoid missing the white guard and the first commutation which represents the first bar in the video signal. The signal is then converted from analog to digital by A/D  
30 converter 58 and sent to decoder 26. (Decoder 26 is shown as "optional" because it can be combined with, or external to, the optical scanning head.)

In the two-dimensional scanner, the output of the CCD component is directed through signal converter 22'. Here,  
35 the signal is amplified and filtered, as in the one-

dimensional path, by filter 52'. Filter 52' may be a fixed or programmable multi-pole active amplifier filter. When a bar code is scanned, the waveform produced has a rounded form with a continuously varying component due to the crisp alternating black and white bars, with the amplitude being an indication of the pulse width. In order to magnify the amplitude according to the width of the bars and spaces, filter 52', which is a bandpass filter (active), will magnify the low amplitude portion of the signal and will maintain the high amplitude portion at the same level. The "transfer function" of filter 52' is shown in Figure 21. The segments "BC" and "CD" indicate the magnification according to the high frequency (low level) or the small black and white bars to be magnified. The "AB" segment corresponds to the large black and white bars to be maintained at the same amplitude. The slopes of segments "FA", "BC", "CD" or "EG" may be varied in order to adjust the relative magnitude of the narrow black and white barwidths with the wide bars. This filtering may be achieved by a fixed filter, an automatic filter, or by a programmable, menu driven function.

Gain control 54', which may be automatic, edge detection or highly adaptive thresholding (described below) is provided to adjust the magnitude of the received signal to a pre-determined level, regardless of the distance between the bar code and the scanner and the ambient illumination. (These techniques may also be used in the one-dimensional scanner.) Dark reference 55 provides the means by which the calibration can be made to correct for variations in system responsivity. Comparator and threshold control 56' may include highly adaptive thresholding to recover the accurate form of the bar code signal with elimination of the convolution distortion.

Convolution distortion refers to the averaging of the signal due to the finite size of the CCD's resolution and

the delays in the electronic circuits. The distortion results in a rounding of the slope of the signal, causing significant error. The adaptive thresholding method consists of following the slope of the signal and switching  
5 the digital output level of the final shape of the comparator into the one level on the rising slope of the analog signal and into the zero level on the descending slope of the analog signal, using the zero crossing of the analog signal (average value) as the reference level.

10 A video signal processing module, as is known in the art, may also be used to process the analog signal to provide a digital output data stream and/or to decode and provide a signal representing the decoded information within a two-dimensional bar code.

15 In an alternate embodiment, rather than sequentially illuminating the LEDs, the voltage to the LEDs is regulated in response to the signal level in the detector. The stronger the received signal, the lower the intensity of light required from the LEDs. The strength of the signal  
20 is dependent upon the distance between the scanner and the bar code, so, at the maximum scanning distance, the LEDs will receive full power. This conserves power by only demanding the power that is necessary. It also avoids saturating or distorting the detected signal if the bar  
25 code is read at a short distance from the scanner with high intensity light.

The optical scanning head of the present invention provides for conservation of power supply energy by using a system clock to control a scanned, progressive  
30 illumination of the LEDs in coordination with clearing of the CCD array. The circuit diagram in Figure 6 is provided to illustrate the sequential control of the four different LED trios which are illustrated in the embodiment of Figure 1. For example, the clock driver provides a signal to  
35 activate amplifier U2A which then provides a signal to the

first trio, identified as TRIO 1, to illuminate LEDs 5, 6 and 12. TRIO 1 is connected so that it will be on at all times that the scanner is activated, regardless of which other trios are on (note the base-collector short at transistor Q8).

Figure 7 provides samples of pulse patterns for activation of the LEDs of the embodiment illustrated in Figure 1. Figure 7a illustrates the activation of the scanner at the beginning of a first clock pulse, i.e., the power is turned on. According to the pulse pattern illustrated in Figure 7b upon application of the "turn on" signal, first trio of LEDs (TRIO 1) is illuminated. At the beginning of the second clock cycle a second trio (TRIO 2) is illuminated. Also at this time, a signal is provided to the CCD array to reset it to initiate its detection function, shown in Figure 7e. At the beginning of the third clock cycle a third trio of LEDs (TRIO 3) turns on, and during a fourth clock cycle a fourth set of LEDs (TRIO 4) turns on. During the fifth clock cycle TRIO 2, TRIO 3 and TRIO 4 turn off and only TRIO 1 remains on. This step up/drop down sequence is continued until the trigger is turned off at the point illustrated in Figure 7a. In Figure 7c a second possible pulse pattern is illustrated in which the first trio of LEDs turns on during the first clock cycle and a second LED trio turns on the second clock cycle, then turns off in the third clock cycle so that the first trio remains on until the seventh clock cycle when the second and third trios turn on for a single clock cycle. The first trio of LEDs remains on during the entire procedure and in the twelfth clock cycle, all four trios of LEDs turn on for one cycle. After a single clock cycle, where only the first set of LEDs remain on, the sequence repeats. In Figure 7d the pulse pattern alternates between two trios of LEDs being on and turning on four trios of LEDs, with one trio being on at all times. For comparison

of the inventive method to prior art practices, Figures 7f and 7g are provided. In Figure 7f, a simple alternation occurs between on and off. In Figure 7g, any combination of the LEDs remains on at all times that the trigger is  
5 activated.

In an alternate embodiment, power conservation is achieved by regulating the voltage supplied to the LEDs in response to the level of the signal generated by the detector array. As above, the detector signal level is  
10 dependent upon the distance at which the bar code is scanned. For greater distances, the signal level will be lower. In response to this lower signal, the voltage supplied to the LEDs will be increased. When the signal level is above a pre-determined limit, the voltage supplied  
15 to the LEDs will be less, since less light is needed to provide an acceptable signal. As an example, if the bar code is read at close range, the LEDs will be supplied with a 25% of the maximum current drain, which, in the prototype device, is 5mA. If the bar code is read within the middle  
20 of the total field depth, the LEDs receive 50%, or 10mA. At the outer limits of the field depth, the supplied current will be 20 mA. The percentage of power applied to the LEDs may change with the color of the bar code as needed to obtain the optimal light intensity for scanning.  
25 This power management technique will use the level of the video output signal to command and control the current on the LEDs through an appropriate clocking function

The light path of the incident beam at the front region of the scanner will generate a beam of light through  
30 angular distance over a field of view across the bar code symbol located in the vicinity of the reference plane. The width of the light transmissive window 24 represents a limiting factor for the width of the incident beam. For this reason, the LEDs are mounted as close as possible to  
35 the window 24 to optimize the field of view and the



incident beam power. Despite this limitation, the field of view of the incident beam is generally independent of the width of the PCB 2 or of the housing. This permits the field of view, i.e., the transverse beam dimension of the incident beam to be larger than the width of the window 24. This is due to the fact that the LEDs emit the incident beam at different directions from each side of the device within the scanning head. The LEDs are oriented to provide parallel beams in pairs. For example, a first pair of LEDs, LEDs 4 and 7 are oriented at a  $7.5^\circ$  angle on the y-axis (a line normal to the front of the PCB 2), 5 and 8 are oriented at  $15^\circ$ , and LEDs 6 and 7 are oriented at  $22.5^\circ$ , as illustrated in Figure 9. The LEDs on the other leg of the "V" are similarly oriented in the opposite direction. As can be seen in the figure, the fan of light which results from this orientation provides an intensity distribution which is higher within a narrow region at the center of the fan, as determined at a distance of 7 inches from the scanner.

The alternate LED arrangement shown in Figure 10 places the centermost LEDs at an angle of  $3.75^\circ$  from a line normal to the front of the scanner, with the other LEDs being oriented at  $3.75^\circ$  degree increments as they progress outward along the legs of the "V". This LED configuration results in a slightly wider region of higher intensity as compared to the above-described embodiment. It should be noted that the cylindrical lens 38 should be positioned at a distance from the LEDs corresponding to the location where the beams cross to provide the highest intensity, e.g., location 120 in Figure 10.

A third configuration of the LEDs is illustrated in Figure 11, which shows a line of LEDs at varying orientations. This configuration is described above.

Other electrical sub circuits can also be provided on PCB 2, including the analog filter 50 and A/D converter 52.

However, in order to configure the housing of the scanner in desired position, it may be necessary to provide a second circuit board oriented at some angle to the first circuit board onto which additional subcircuits can be placed including a decoder chip and a memory device. For example, two or more circuit boards can be configured so that one abuts another at approximate right angles to create an "L"-shaped or "U"-shaped arrangement. This arrangement would allow placement of one of the boards in the barrel of a scanning gun with the other board extending partially into the handle portion.

The decoder module 26 may be either inside or outside of the scanning head housing and will process the digitalized signal generated in the scanning head to calculate the desired data, e.g., the multiple digit representation or code represented by the bar code symbol in accordance with the algorithm contained in the software program. The decoder module includes a random access memory (RAM) for temporary data storage, and EPROM or PAL for holding the control program and a microprocessor which controls the RAM and EPROM or PAL. The decoder module will also include circuitry for controlling the scanning head and the communication circuitry for communication with different functions of the scanning head or with a host system to which the scanning head can be connected, such as a hand held terminal data screen personal computer for computer network.

A low battery detector 60 with indicator LED 61 is included in the housing to provide an indication of insufficient power for further scans. This will provide advance warning so that the user will be aware of the problem before having scanned a number of items without realizing that the items cannot register properly due to the insufficient power.

An electronic shutter 116, shown in Figure 16, is activated by the clock signal from clock 50 to periodically prevent the integration of charge from the light impinging upon the detector 20. This creates "snapshots" of the bar code image to preserve the integrity of the bar code pattern signal as one of the scanning head and the bar code is in motion with respect to the other.

The circuitry, with or without the power supply of a portable configuration, of the optical scanner is protected within a housing 30 which is contoured to easily fit into the user's hand. The user will grip the housing 30 by its handle portion 32, illustrated in Figures 4 and 5, with the window portion 34 aimed at the bar code symbol to be read.

The trigger 36 is built within the handle 32 for easy, one-handed operation of the scanner, with the trigger being positioned at a short distance from the user's fingers so that activation is simply a matter of depressing the trigger. A dual trigger, multi-position trigger or additional switch, is provided for selecting between one-dimensional and two-dimensional scanning, so that only as much power is used as is necessary to assure a high quality signal. The window portion can be placed anywhere from 0 to 22 inches above or in front of the bar code to be scanned. With a scanning distance of less than seven inches, it is desirable to center the fan of light over the bar code since different intensities due to the sequential limitation of the LEDs may illuminate some portions of the bar code more brightly than others, and due to the higher density of light at the center of the fan. For scans greater than 22 inches, the LEDs can be replaced with a flash lamp.

The optical scanning head of the present invention provides a device for building a small or self-contained portable device, a portable component of a multi-component scanner, or the optical portion of a built-in scanning

unit, for bar code scanning which uses LED and CCD technology making it an economical device. The scanning head is capable of reading bar codes up to 22 inches away from the detector with LED illumination and even more with  
5 a flash lamp, so that it is versatile for either portable or fixed implementation. The variably pulsed activation of the LEDs and CCD array, or the graduated illumination of the LEDs, makes the device capable of operating at low power with minimal power drain during illumination, a  
10 significant factor in portable scanners. The lens system and fan of light produced by the LED array permit the reading of wide range of bar code densities and widths. For point-of-sale use, or industrial applications, where the scanner is fixed and the object imprinted with the bar  
15 code is moved past it, a number of optical scanning heads of the present invention can be used in combination and mounted at different angles so that, regardless of the orientation or position of the bar code, the bar code can be read. For example, a crossed or starburst pattern can  
20 be made by combining two or four scanning heads, respectively. The signal generated by each individual scanning head will be compared with signals from the other scanning heads, and the signal with the least error will be used. The signals from each scanning head may also be used  
25 to double check the signals provided by other scanning heads.

Another combination into which the optical scanning head of the present invention can be incorporated is in a "touchless" bar code "tunnel", which consists of mounting  
30 on each side of a rectangular pass-through tunnel with one or more scanning heads built into each wall of the tunnel, i.e., the top and two sides. The tunnel may be, but is not necessarily, included in a conveyer belt system used in point-of-sale or industrial applications. The result is  
35 that, regardless of the position or the side of the object

on which the bar code is printed, at least one of the optical scanners will be able to read the bar code, either one- or two-dimensional, automatically and transmit the decoded data to the computer or cash register. This method  
5 will simplify handling and will reduce "check-out" time of the items. Such a capability would be highly advantageous in a grocery check-out situation.

It will be evident that there are additional embodiments which are not illustrated above but which are  
10 clearly within the scope and spirit of the present invention. The above description and drawings are therefore intended to be exemplary only and the scope of the invention is to be limited solely by the appended claims.

15 I CLAIM:

CLAIMS

1. An optical scanning device for scanning one- and  
2 two-dimensional bar codes comprising:
  - a printed circuit board having a front and a rear;
  - 4 an LED array having a plurality of LEDs disposed near  
6 said front of said printed circuit board wherein each LED  
8 of said plurality is oriented to emit light at an angle  
different than other LEDs of said plurality, said angle of  
each LED being a non-zero angle with respect to a reference  
line perpendicular to said front of said circuit board,  
10 said LED array projecting a fan of light forward in a  
direction along said reference line;
  - 12 a cylindrical lens forward of said LED array having  
and input edge and an output edge for focussing said fan of  
14 light into a line of light at its focal distance for  
illuminating a bar code within a field of view within a  
16 range of said focal distance so that reflected light is  
reflected therefrom;
  - 18 a detector comprising at least one linear CCD array  
disposed near rearward of said LED array on said printed  
20 circuit board for detecting a portion of reflected light  
from said bar code and generating an electrical signal in  
22 response thereto wherein said electrical signal is an  
analog representation of said bar code;
  - 24 an optical assembly for receiving said reflected light  
and directing said reflecting light onto said detector;
  - 26 a conversion assembly for converting said electrical  
signal into a signal corresponding to said bar code; and
  - 28 a voltage source for providing a driving voltage to  
said LED array, said CCD detector and said conversion  
30 assembly.
2. An optical scanning device as in Claim 1 wherein  
2 said LED array is arranged in a "V"-shape.

3. An optical scanning device as in Claim 1 wherein  
2 said LED array is arranged in a generally linear fashion.

4. An optical scanning device as in Claim 1 wherein  
2 said LED array is arranged in two discrete rows of LEDs.

5. An optical scanning device as in Claim 4 wherein  
2 said two discrete rows are each parallel to said front of  
said printed circuit board.

6. An optical scanning device as in Claim 4 wherein  
2 said two discrete rows are substantially perpendicular to  
said front of said printed circuit board.

7. An optical scanning device as in Claim 1 wherein  
2 said LED array is arranged in four rows wherein two of said  
rows are parallel to said front of said printed circuit  
4 board and two of said rows are perpendicular to said front  
of said printed circuit board.

8. An optical scanning device as in Claim 1 wherein  
2 said LED array comprises at least two groupings of LEDs,  
each grouping having an equal number of LEDs, and further  
4 comprising:  
a clocking device for controlling a transfer of  
6 driving voltage from said voltage source to each grouping  
of LEDs wherein a first grouping of LEDs remains on and at  
8 least one second grouping of LEDs is periodically turned on  
and off according to a clocking signal generated by said  
10 clocking device.

9. An optical scanning device as in Claim 1 further  
2 comprising:

4 a clocking device for controlling transfer of driving  
voltage from said voltage source to said at least one  
grouping of LEDs; and

6 a gain controller responsive to a level of said  
electrical signal for controlling a level of driving  
8 voltage applied to said at least one grouping of LEDs.

10. An optical scanning device as in Claim 9 wherein  
2 said gain controller ramps up said level of said driving  
voltage when said optical scanning device is at a greater  
4 distance from said bar code.

11. An optical scanning device as in Claim 1 wherein  
2 said field of view has a depth in the range of 0 to 35  
inches.

12. An optical scanning device as in Claim 1 wherein  
said input edge of said cylindrical lens has a knurled  
2 surface.

13. An optical scanning device as in Claim 12 wherein  
said output edge of said cylindrical lens is concave.

14. An optical scanning device as in Claim 12 wherein  
2 said output edge of said cylindrical lens is convex.

15. An optical scanning device as in Claim 1 wherein  
2 said output edge of said cylindrical lens has a double  
radius wherein a first portion of said output edge has a  
4 first focal length and a second portion of said output edge  
has a second focal length different from said first focal  
6 length.



16. An optical scanning device as in Claim 1 wherein  
2 said detector comprises two parallel linear CCD arrays.

17. An optical scanning device as in Claim 1 wherein  
2 said detector comprises an area array of CCDs.

18. An optical scanning device as in Claim 1 wherein  
2 said optical assembly comprises a plurality of lenses and  
filters for collecting and optimizing said reflected light  
4 for detection by said detector.

19. An optical scanning device as in Claim 1 wherein  
2 said conversion assembly includes an automatic gain control  
for amplifying said electrical signal when a level of said  
4 electrical signal falls below a pre-determined level.

20. An optical scanning device as in Claim 1 wherein  
2 said conversion assembly includes an automatic comparator  
control.

21. An optical scanning device as in Claim 1 further  
2 comprising a light shield for blocking stray light from  
said optical assembly.

22. An optical scanning device as in Claim 1 wherein  
2 a housing retains components of said optical scanning  
device, said housing having a configuration to be held in  
4 a user's hand so that said fan of light is directed towards  
said bar code.

23. An optical scanning device as in Claim 8 wherein  
2 said clocking device further controls a timing of scanning  
by said CCD detector and transfer of said electrical signal  
4 from said CCD detector to said conversion assembly.

24. An optical scanning device as in Claim 1 wherein  
2 said conversion assembly includes an analog-to-digital  
converter and an microprocessor for manipulating said  
4 electrical signal.

25. An optical scanning device as in Claim 1 further  
6 comprising an electronic shutter for periodically blocking  
light from impinging upon said detector when one of said  
8 optical scanning device and said bar code is in motion with  
respect to the other.

26. An optical scanning device as in Claim 1 further  
2 comprising a memory buffer for storing an electronic image  
of a two-dimensional bar code.

27. An optical scanning device as in Claim 1 further  
2 comprising a signal converter having a dark reference for  
calibration of said electrical signal.

28. An optical scanning device as in Claim 27 further  
2 comprising a flat field for comparison with said dark  
reference to provide shading correction.

29. An optical scanning device as in Claim 1 wherein  
2 said CCD detector includes an anti-blooming device to  
decrease excess charges caused by overillumination.

30. An optical scanning device as in Claim 1 wherein  
2 said CCD detector includes a pixel defect corrector.

31. An optical scanning device as in Claim 1 wherein  
2 said CCD detector includes a binning means for enhancing a  
depth of field of said optical scanning device.

32. An optical scanning device as in Claim 1 wherein  
2 said conversion assembly includes a gain control means for  
controlling an amplitude of said electrical signal.

33. An optical scanning device as in Claim 1 wherein  
2 said conversion assembly includes means for highly adaptive  
thresholding.

34. An optical scanning device as in Claim 1 wherein  
2 said conversion assembly includes a multi-pole adaptive  
filter.

35. An optical scanning device as in Claim 18 wherein  
2 said optical assembly further comprises a spatial filter  
having at least one air slit.

36. An optical scanning device as in Claim 35 wherein  
2 said spatial filter has two crossed slits.

37. An optical scanner for reading and decoding  
information encoded in one-dimensional and/or two-  
2 dimensional bar codes comprising alternating lines of light  
and dark, said optical scanner comprising:  
4 a printed circuit board having a front, a center and  
a rear;  
6 an array of light emitting diodes comprising at least  
one combination of light emitting diodes disposed near said  
8 front of said printed circuit board in an arrangement  
wherein each light emitting diode of said at least one  
10 combination emits light at an angle different from other  
light emitting diodes of said at least one combination so  
12 that a fan of light is projected from said array along a  
line perpendicular to said front of said printed circuit  
14 board;

16 A cylindrical lens having an input edge and an output  
edge disposed forward of said array of light emitting  
18 diodes for focussing said fan of light into a line of light  
at its focal distance onto a reference plane within a field  
of view containing said bar code so that light is reflected  
20 therefrom;

an optical assembly disposed at a center of said  
22 printed circuit board for receiving, concentrating and  
directing reflected light toward said rear of said board;

24 a CCD array disposed at said rear of said printed  
circuit board for detecting a portion of said reflected  
26 light directed thereon by said optical assembly and  
generating an analog representation of said bar code;

28 a conversion assembly for receiving and converting  
said analog representation into an electrical signal  
30 corresponding to said bar code; and

a voltage source for providing a driving voltage to  
32 said array of light emitting diodes, said CCD array and  
said conversion assembly.

38. An optical scanner as in Claim 37 wherein said  
2 array of light emitting diodes comprises at least two  
combinations of light emitting diodes and further  
4 comprising:

a clocking device for controlling a transfer of  
6 driving voltage from said voltage source to each  
combination of light emitting diodes wherein a first said  
8 combination remains on when said optical scanner is  
activated and at least one second said combination is  
10 periodically turned on and off according to a clocking  
signal generated by said clocking device.

39. An optical scanner as in Claim 37 further  
2 comprising:

4 a clocking device for controlling transfer of driving  
voltage from said voltage source to said at least one  
combination of light emitting diodes; and

6 a gain controller responsive to a level of said  
electrical signal for controlling a level of driving  
8 voltage applied to said at least one combination of light  
emitting diodes.

40. An optical scanner device as in Claim 39 wherein  
2 said gain controller ramps up said level of said driving  
voltage when said optical scanner is at a greater distance  
4 from said bar code.

41. An optical scanner as in Claim 37 wherein said  
2 field of view has a depth in the range of 0 to 35 inches.

42. An optical scanning device as in Claim 37 wherein  
said input edge of said cylindrical lens has a knurled  
2 surface.

43. An optical scanning device as in Claim 42 wherein  
said output edge of said cylindrical lens is concave.

44. An optical scanning device as in Claim 42 wherein  
2 said output edge of said cylindrical lens is convex.

45. An optical scanning device as in Claim 37 wherein  
2 said output edge of said cylindrical lens has a double  
radius wherein a first portion of said output edge has a  
4 first focal length and a second portion of said output edge  
has a second focal length different from said first focal  
6 length.

46. An optical scanning device as in Claim 37 wherein  
2 said CCD array comprises two parallel rows of CCDs.

47. An optical scanning device as in Claim 37 wherein  
2 said CCD array comprises an area array of CCDs.

48. An optical scanner as in Claim 37 wherein said  
2 array of light emitting diode is disposed in a generally V-  
shaped arrangement.

49. An optical scanner as in Claim 37 wherein said  
2 array of light emitting diodes is disposed in at least one  
generally straight row across said front of said printed  
4 circuit board.

50. An optical scanner as in Claim 37 wherein said  
2 array of light emitting diodes is disposed in two rows  
perpendicular to said front of said printed circuit board.

51. An optical scanner as in Claim 37 wherein said  
2 optical assembly comprises a plurality of lenses and  
filters for optimizing said reflected light for detection  
4 by said CCD array.

52. An optical scanner as in Claim 37 wherein each  
2 light emitting diode of said array emits visible red light.

53. An optical scanner as in Claim 52 wherein said  
2 visible red light has a wavelength of 660 nanometers.

54. An optical scanner as in Claim 37 wherein each  
2 light emitting diode of said array emits infrared light.

55. An optical scanner as in Claim 51 wherein said  
2 optical assembly includes a bandpass filter for blocking  
light having a wavelength outside of a range around a pre-  
4 determined wavelength at which said array of light emitting  
diodes emits.

56. An optical scanner as in Claim 37 wherein said  
2 conversion assembly includes an automatic gain control for  
controlling gain in response to a detected intensity level  
4 of said reflected light.

57. An optical scanner as in Claim 38 wherein said  
clocking device further controls a timing of scanning of  
2 said CCD array and a transfer of said analog representation  
to said conversion assembly.

58. An optical scanner as in Claim 37 wherein said  
2 optical assembly further comprises a light shield to block  
out stray light.

59. An optical scanner as in Claim 37 wherein said  
optical assembly is slidable in a direction running from  
2 said front to said rear of said printed circuit board to  
permit variation in a depth of field of said optical  
4 scanner.

60. An optical scanner as in Claim 37 wherein said  
2 CCD array has a density of elements selected according to  
a density of said alternating lines of said bar code.

61. An optical scanner as in Claim 37 wherein said  
2 fan of light has an intensity distribution which is denser  
at a center of said fan.

2 62. An optical scanner as in Claim 37 further comprising a housing for hand-held operation.

2 63. An optical scanner as in Claim 37 further comprising a trigger for activating and de-activating said optical scanner.

2 64. An optical scanner as in Claim 63 wherein said trigger comprises a dual trigger for selecting one-dimensional or two-dimensional scanning.

2 65. An optical scanner as in Claim 37 wherein said conversion assembly includes a memory buffer for storing an electronic image of a two-dimensional bar code.

2 66. An optical scanner as in Claim 37 wherein said conversion assembly includes a dark reference for calibration of said electrical signal.

2 67. An optical scanner as in Claim 66 further comprising a flat field for comparison with said dark reference to provide shading correction.

2 68. An optical scanner as in Claim 37 wherein said conversion assembly includes an anti-blooming device to decrease excess charges in said CCD array caused by  
4 overillumination.

2 69. An optical scanner as in Claim 37 wherein said conversion assembly includes a pixel defect corrector.

2 70. An optical scanner as in Claim 37 wherein said conversion assembly includes a binning means for enhancing a depth of field of said optical scanning head.



71. An optical scanner as in Claim 37 wherein said  
2 conversion assembly includes a gain control means for  
controlling an amplitude of said electrical signal.

72. An optical scanner as in Claim 37 further  
2 comprising a signal conditioning means having highly  
adaptive thresholding.

77. An optical scanning head as in Claim 37 wherein  
2 said conversion assembly includes a multi-pole adaptive  
filter.

78. An optical scanning head for inclusion in a bar  
2 code scanning system for scanning one-dimensional and two-  
dimensional bar codes comprising:

4 a printed circuit board having a front, a center and  
a rear portion;

6 a light source disposed at said front portion of said  
printed circuit board for projecting a reference fan of  
8 light;

10 a cylindrical lens having an input edge and an output  
edge for focussing said reference fan of light at its focal  
distance for illuminating a bar code within a field of view  
12 to be read so that light is reflected therefrom;

14 a CCD module disposed rearward on said printed circuit  
board having a CCD array for detecting a portion of  
reflected light from said bar code and generating an  
16 electrical signal in response thereto wherein said  
electrical signal is an analog representation of said bar  
18 code; and

20 an optical assembly for receiving said reflected light  
and directing said reflecting light onto said CCD module.

79. An optical scanning head as in Claim 78 wherein  
2 said light source comprises an LED array is disposed in a  
generally V-shaped arrangement wherein each LED of said  
4 array is oriented to emit light at an angle different from  
other LEDs in said array.

80. An optical scanning head as in Claim 78 wherein  
2 said light source comprises an LED array is disposed in at  
least one generally linear arrangement wherein each LED of  
4 said array is oriented to emit light at an angle different  
from other LEDs in said array.

81. An optical scanning head as in Claim 78 wherein  
2 said LED array comprises two parallel linear arrangements  
parallel to said front of said printed circuit board.

82. An optical scanning head as in Claim 78 wherein  
2 said LED array comprises two parallel linear arrangements  
perpendicular to said front of said printed circuit board.

83. An optical scanning head as in Claim 78 wherein  
2 said optical assembly comprises a plurality of lenses and  
filters for collecting and optimizing said reflected light  
4 for detection by said CCD module.

84. An optical scanning head as in Claim 78 wherein  
2 said input edge of said cylindrical lens has a knurled  
surface.

85. An optical scanning head as in Claim 78 wherein  
2 said output edge of said cylindrical lens is concave.

86. An optical scanning head as in Claim 78 wherein  
2 said output edge of said cylindrical lens is convex.

87. An optical scanning head as in Claim 78 wherein  
2 said output edge of said cylindrical lens has a first  
radius and a second radius, each having a different focal  
4 length.

88. An optical scanning head as in Claim 78 further  
2 comprising a light shield for blocking stray light from  
said optical assembly.

89. An optical scanning head as in Claim 78 further  
2 comprising means for combining said optical scanning head  
with other optical scanning heads so that a bar code can be  
4 read at a number of different orientations.

90. An optical scanning head as in Claim 78 further  
2 comprising an electronic shutter for periodically  
preventing charge from collecting in said CCD module when  
4 one of said optical scanning device and said bar code is in  
motion with respect to the other.

91. An optical scanning head as in Claim 78 wherein  
2 said light source comprises a flash lamp.

92. An optical scanning head as in Claim 92 further  
2 comprising a generally parabolic reflector centered on said  
flash lamp.

93. An optical scanning head as in Claim 78 further  
2 comprising a memory buffer for storing an electronic image  
of a two-dimensional bar code.

94. An optical scanning head as in Claim 78 further  
2 comprising a signal converter having a dark reference for  
calibration of said electrical signal.

2 95. An optical scanning head as in Claim 94 further comprising a flat field for comparison with said dark reference to provide shading correction.

2 96. An optical scanning head as in Claim 78 wherein said CCD module includes an anti-blooming device to decrease excess charges caused by overillumination.

2 97. An optical scanning head as in Claim 78 wherein said CCD module includes a pixel defect corrector.

2 98. An optical scanning head as in Claim 78 wherein said CCD module includes a binning means for enhancing a depth of field of said optical scanning head.

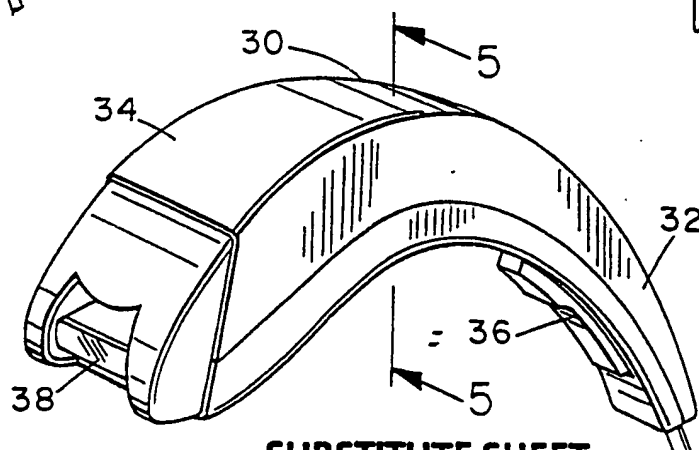
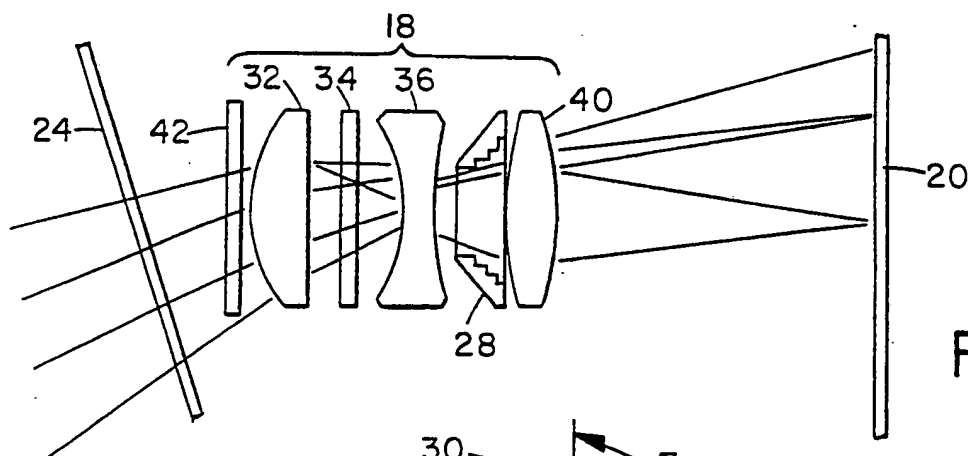
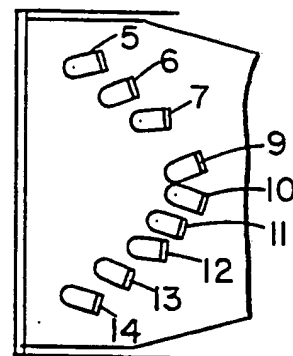
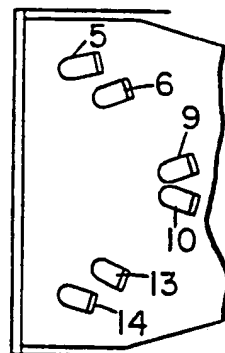
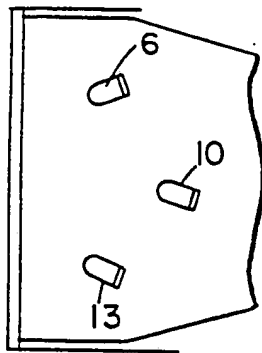
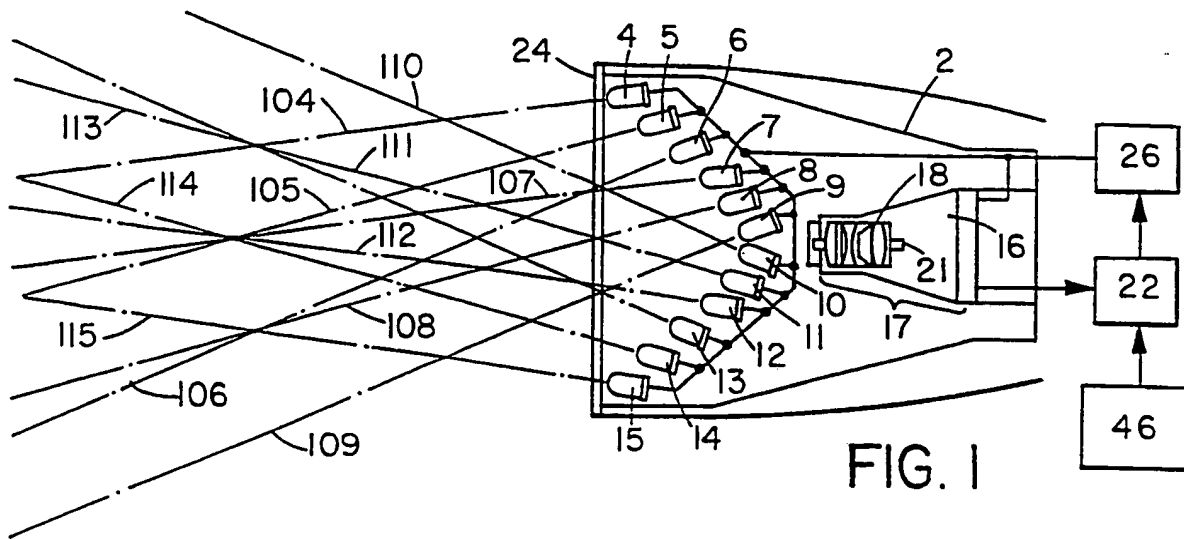
2 99. An optical scanning head as in Claim 78 wherein said CCD module includes a gain control means for controlling an amplitude of said electrical signal.

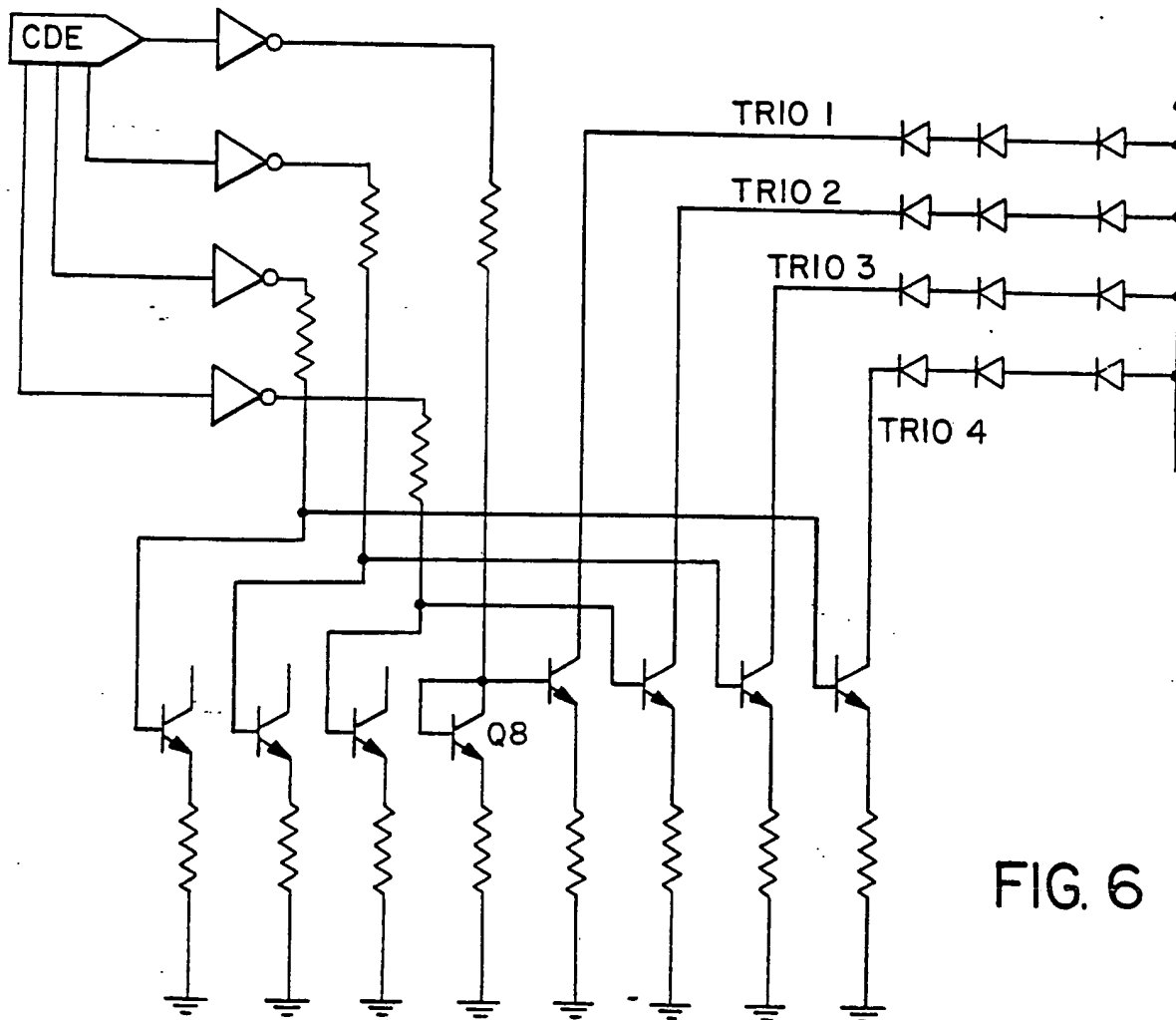
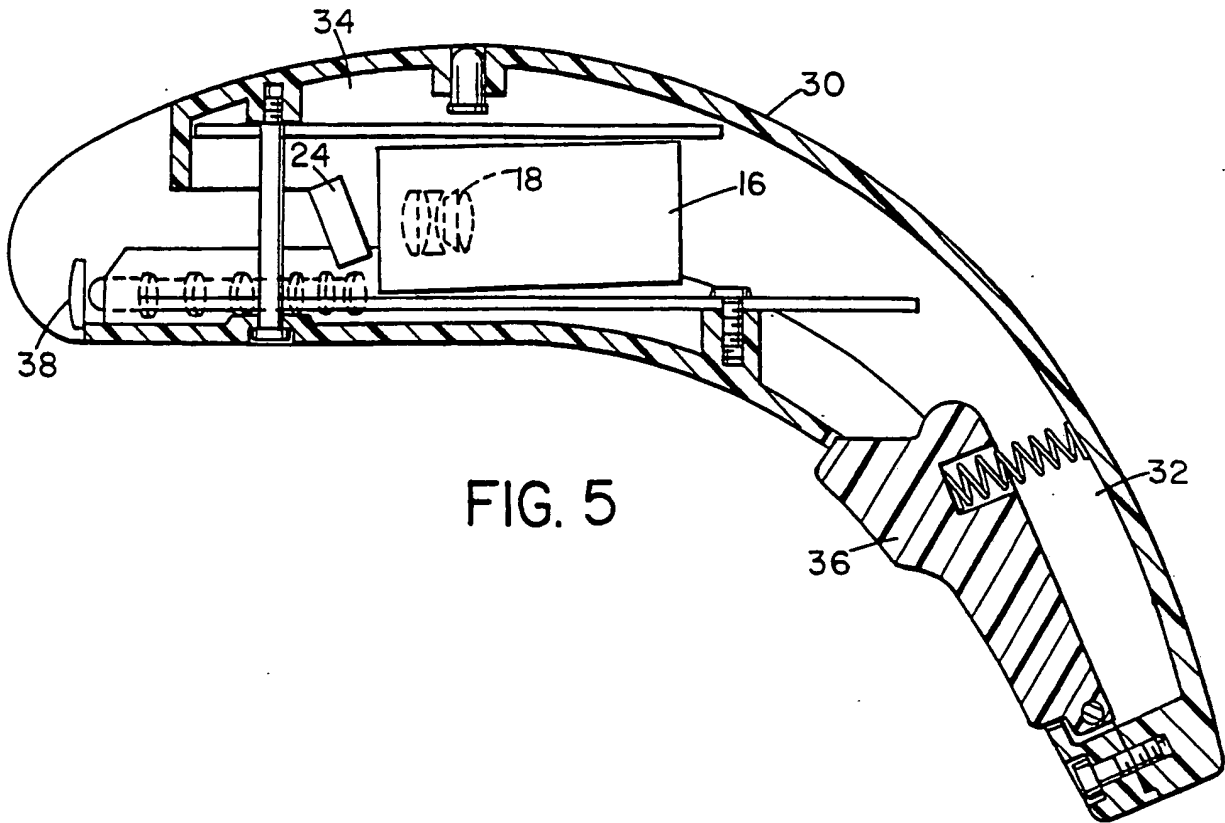
2 100. An optical scanning head as in Claim 78 further comprising a signal conditioning means having highly adaptive thresholding.

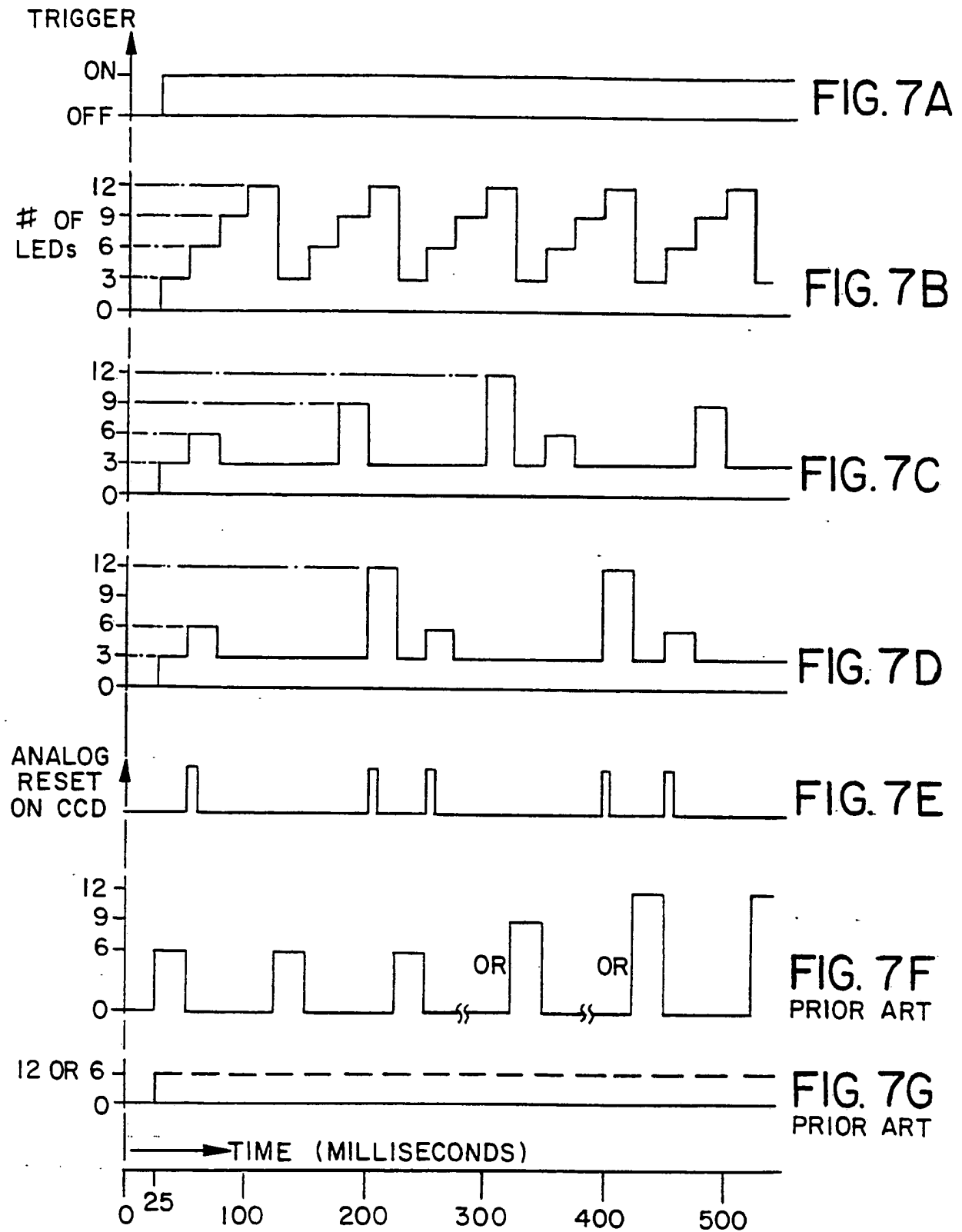
2 101. An optical scanning head as in Claim 78 further comprising a signal converter having a multi-pole adaptive filter.

2 102. An optical scanning head as in Claim 83 wherein said optical assembly further comprises a spatial filter having at least one air slit.

2 103. An optical scanning head as in Claim 83 wherein said spatial filter has two crossed slits.







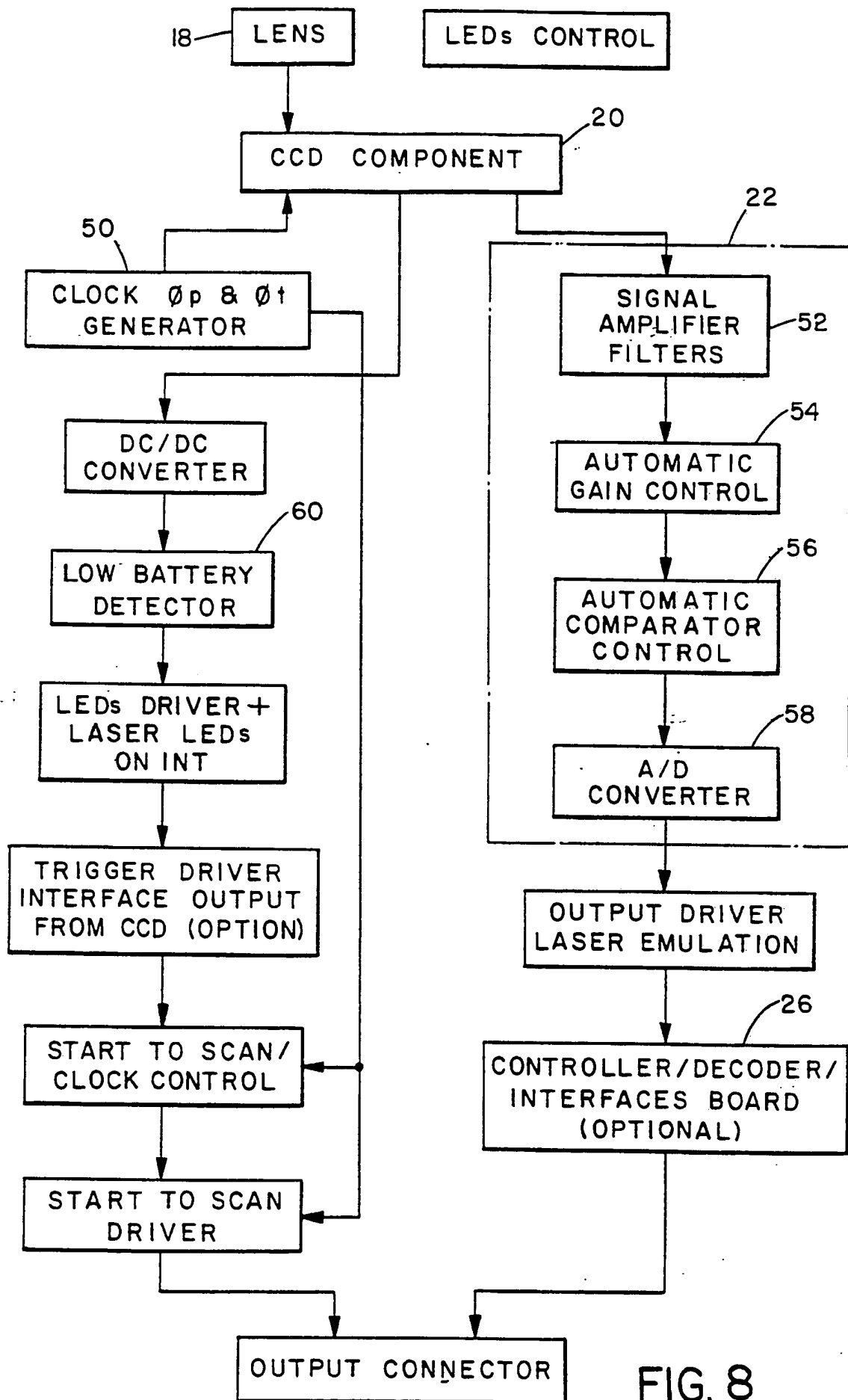


FIG. 8



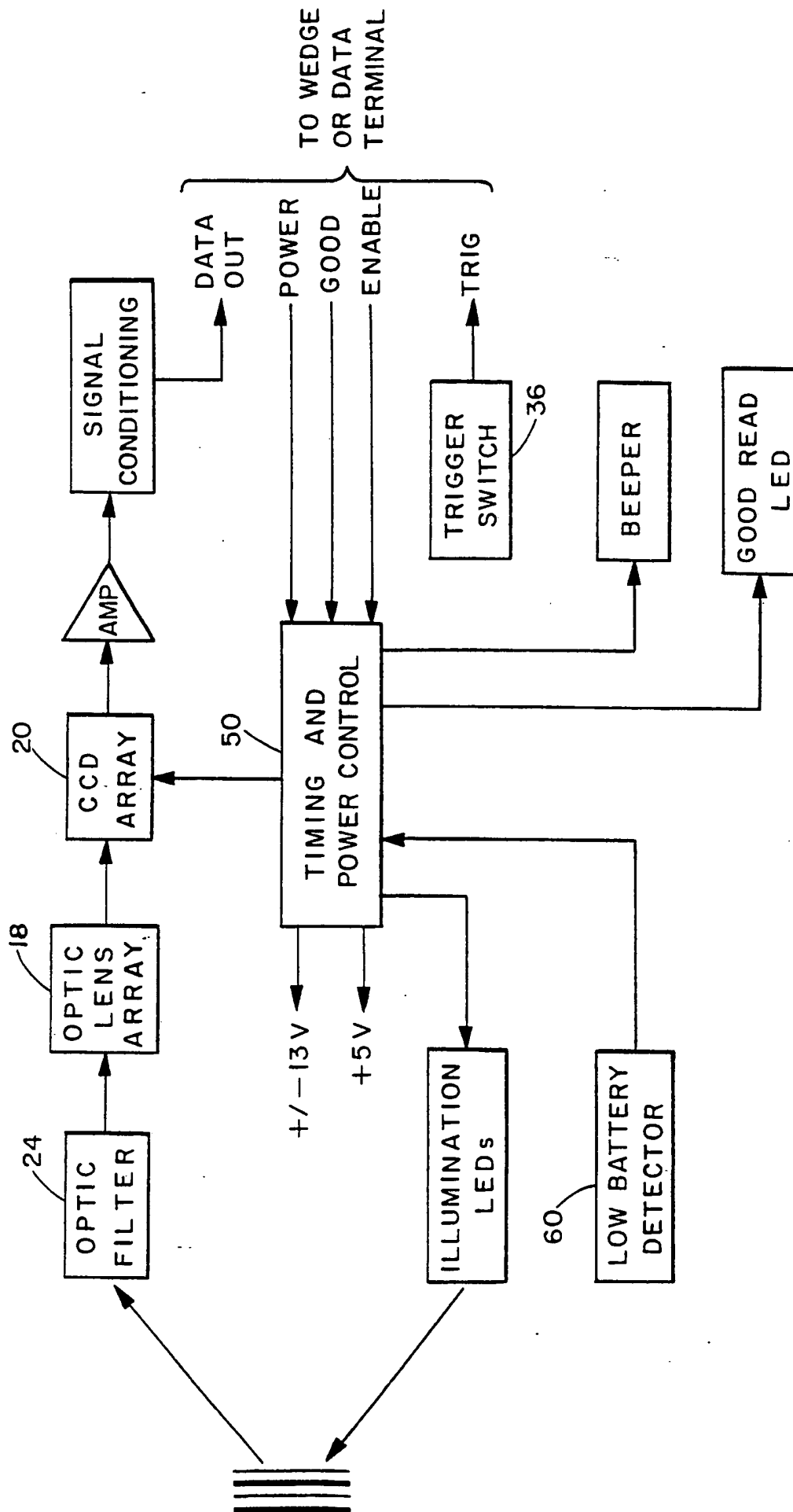


FIG. 12

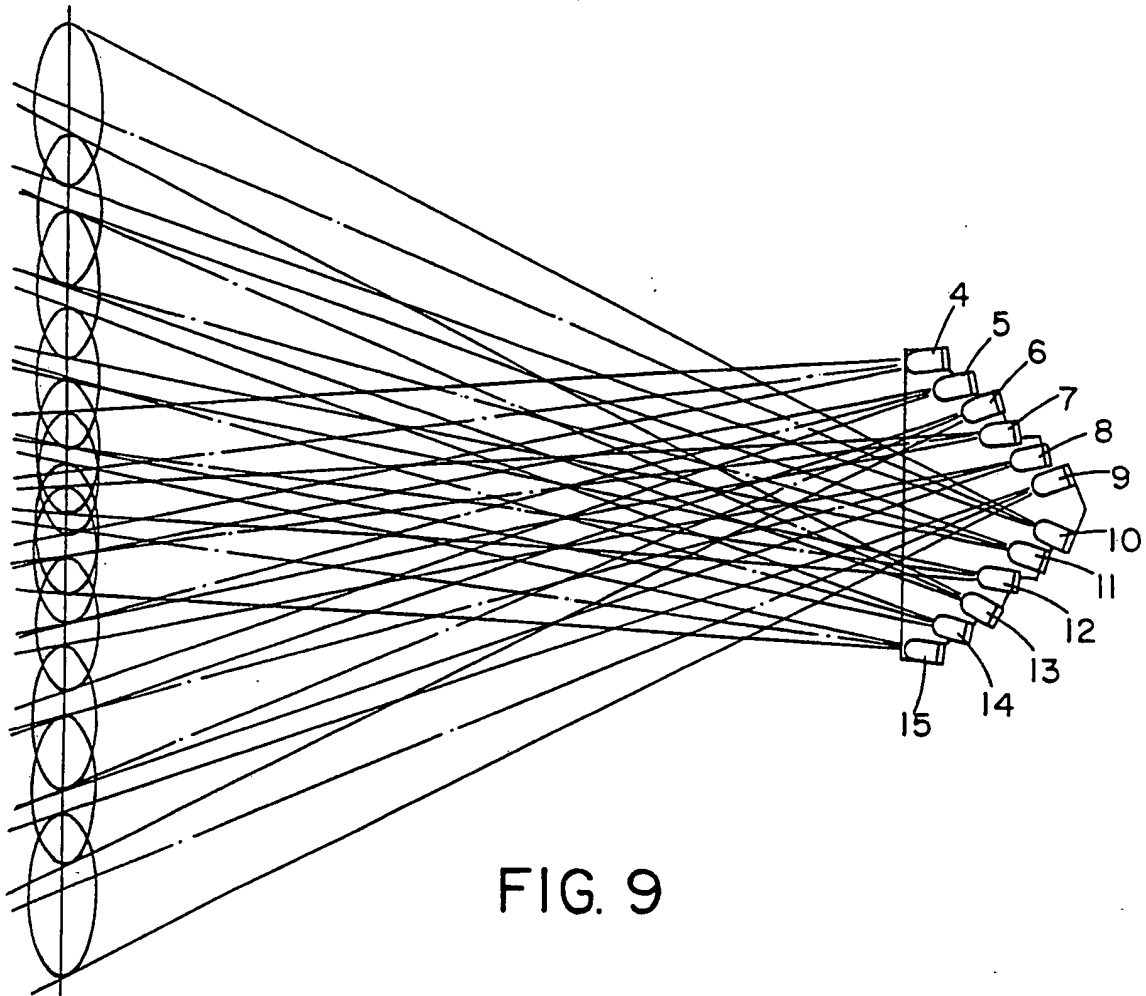


FIG. 9

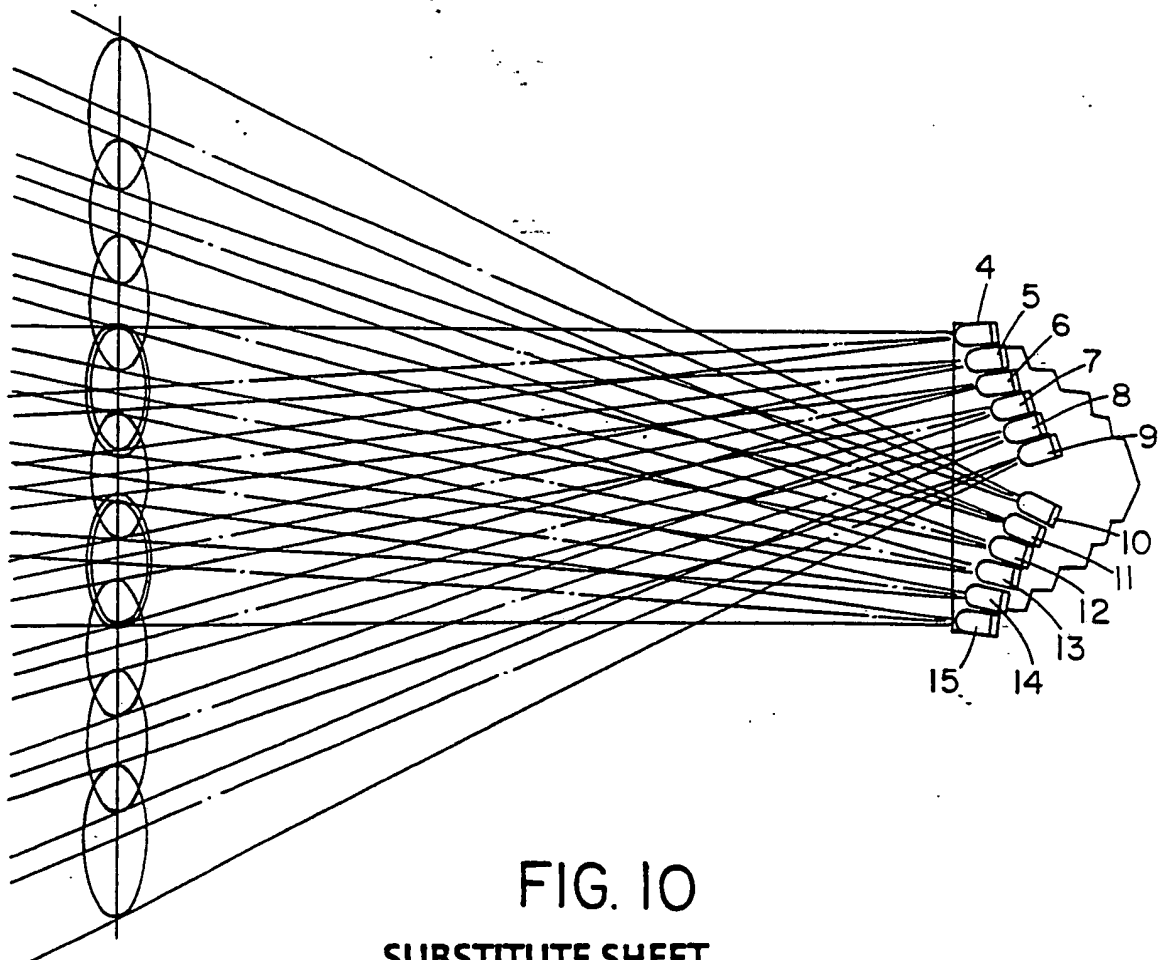
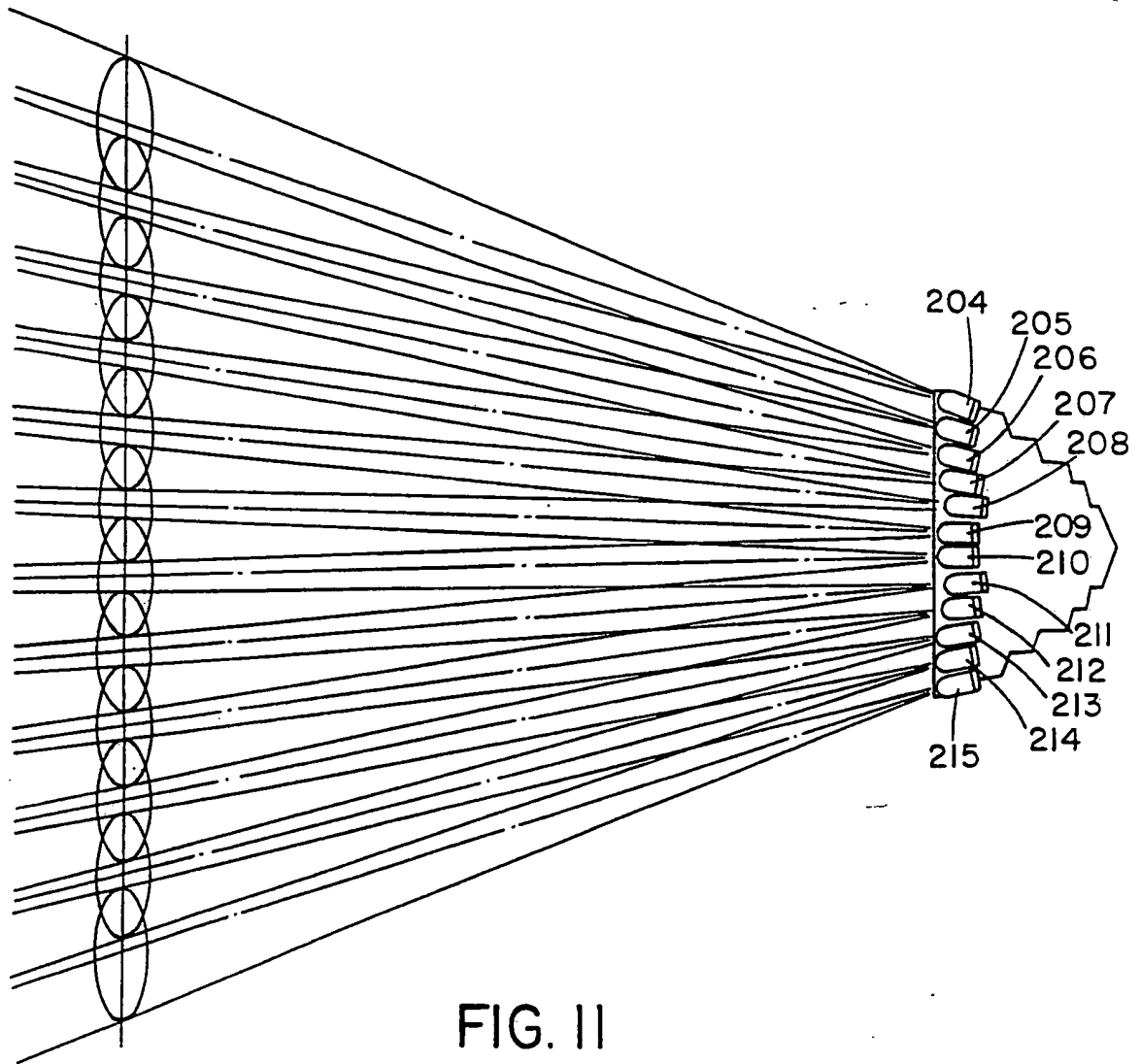


FIG. 10



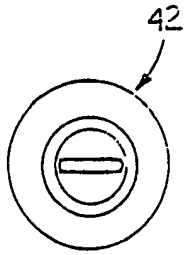


FIG. 13A

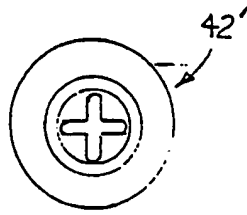


FIG. 13B

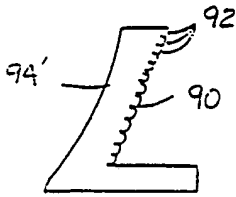


FIG. 14A

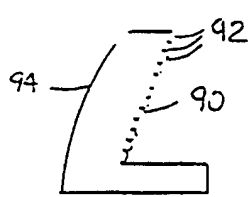


FIG. 14B

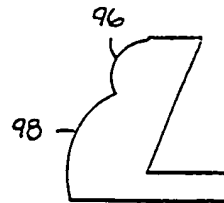


FIG. 15

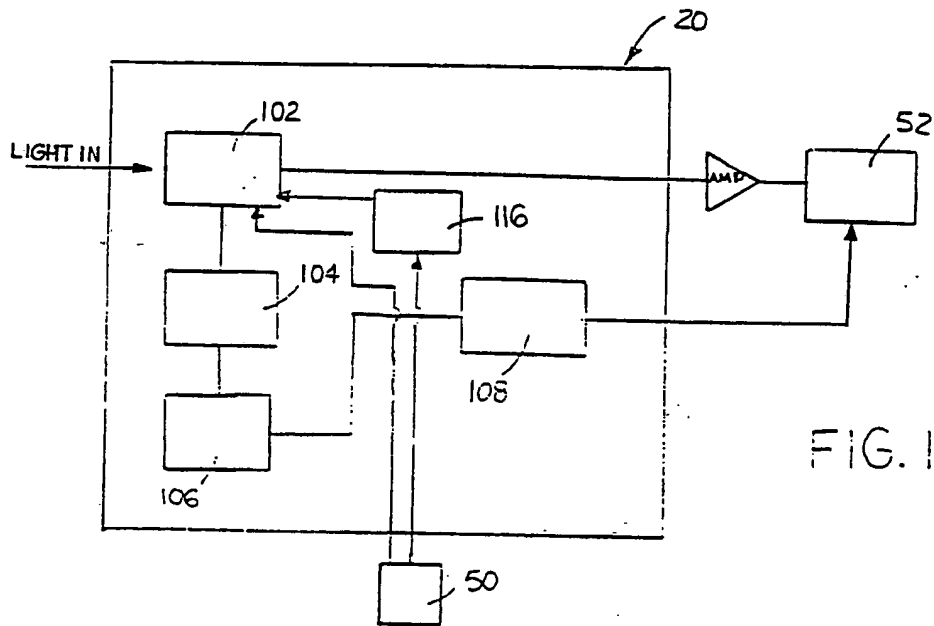


FIG. 16

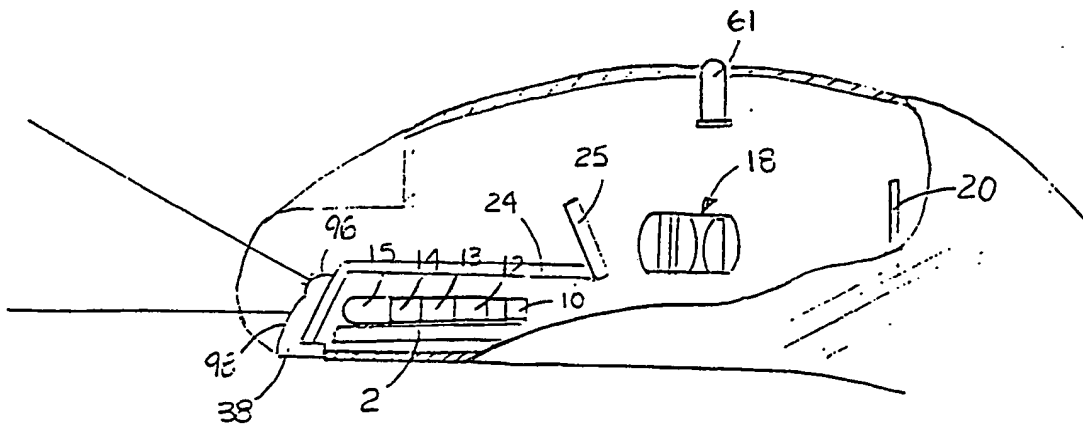


FIG. 17

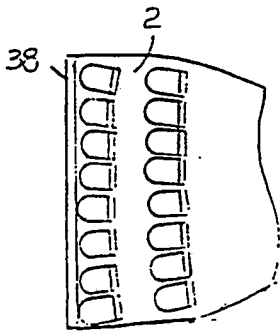


FIG. 18A

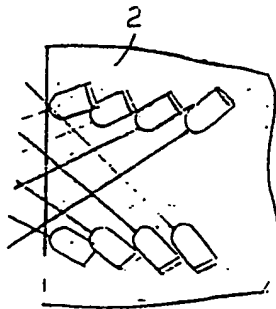


FIG. 18B

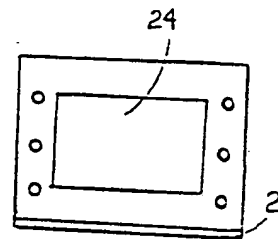


FIG. 18C

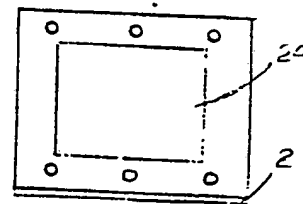


FIG. 18B

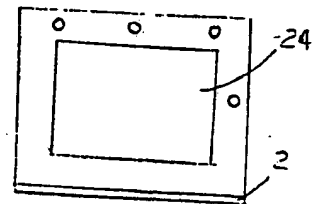


FIG. 18E

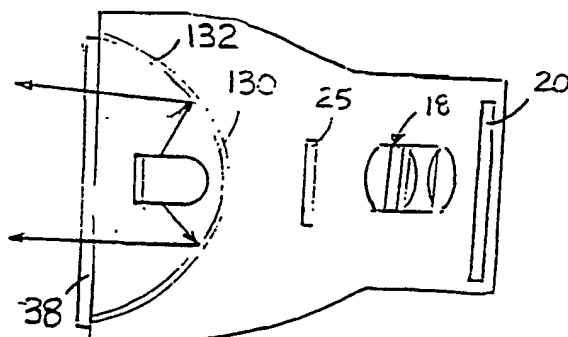


FIG. 19

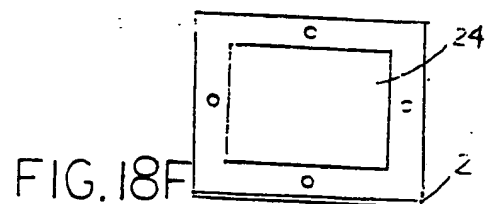


FIG. 18F

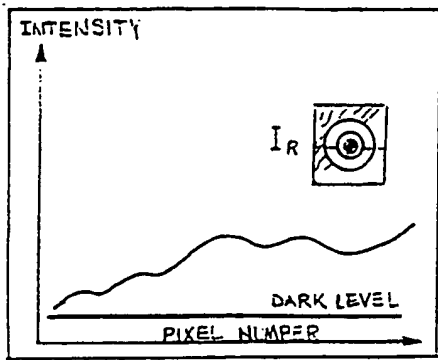


FIG. 20A

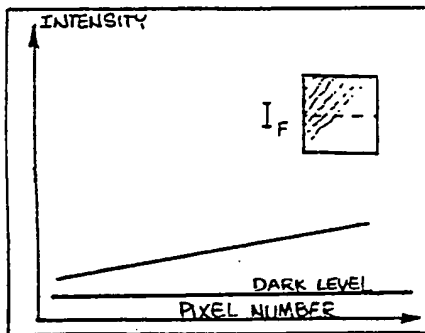


FIG. 20B

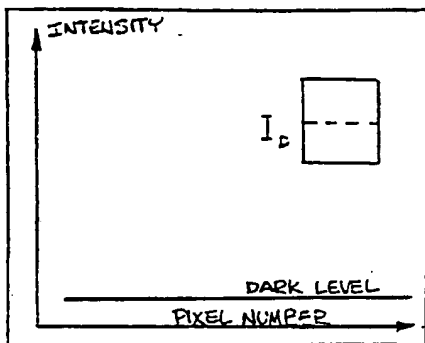


FIG. 20C

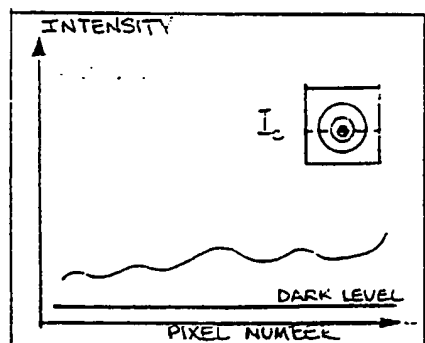
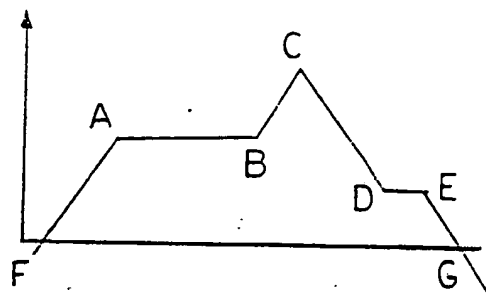


FIG. 20D

FIG. 21



## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 93/01706

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) <sup>4</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC<sup>5</sup>: G 06 K 7/10

## II. FIELDS SEARCHED

Minimum Documentation Searched <sup>7</sup>

Classification System

Classification Symbols

IPC<sup>5</sup>

G 06 K

Documentation Searched other than Minimum Documentation  
to the extent that such Documents are included in the Fields Searched <sup>6</sup>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>8</sup>

Category <sup>9</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	EP, A1, 0 164 012 (I.B.M.) 11 December 1985 (11.12.85), claims 1-10; fig. 1-7. --	1,37, 78
A	EP, A2, 0 384 955 (SYMBOL TECHN.) 05 September 1990 (05.09.90), totality; especially claims 1-6; fig. 1-7. --	1,37, 78
A	EP, A1, 0 152 733 (I.B.M.) 28 August 1985 (28.08.85), page 7, line 15 - page 8, line 2. --	1,31, 37,70, 78,98
A	EP, A2, 0 249 713 (I.B.M.) 23 December 1987 (23.12.87), totality. --	1,31, 37,70, 78,98

\* Special categories of cited documents: <sup>14</sup>

"A" document defining the general state of the art which is not considered to be of particular relevance.

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"Z" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search

03 June 1993

Date of Mailing of this International Search Report

29. 06. 93

International Searching Authority

EUROPEAN PATENT OFFICE

Signature of Authorized Officer

MIHATSEK e.h.

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, " with indication, where appropriate, of the relevant passages	Relevant to Claim No.
P,X	EP, A1, 0 517 956 (SYMBOL) 16 December 1992 (16.12.92), columns 1-18; fig. 1-14. --	1,31, 37,70, 78,98
P,X	US, A, 5 135 160 (TASAKI) 04 August 1992 (04.08.92), totality. --	1,37, 78
P,X	EP, A2, 0 524 653 (MATSUSHITA) 27 January 1993 (27.01.93), totality. ----	1,37, 78



zum internationalen Recherchen-  
bericht über die internationale  
Patentanmeldung Nr.

to the International Search  
Report to the International Patent  
Application No.

au rapport de recherche inter-  
national relatif à la demande de brevet  
international n°

PCT/US93/01706 SAE 71355

In diesem Anhang sind die Mitglieder  
der Patentfamilien der im obenge-  
nannten internationalen Recherchenbericht  
angeführten Patentdokumente angegeben.  
Diese Angaben dienen nur zur Unter-  
richtung und erfolgen ohne Gewähr.

This Annex lists the patent family  
members relating to the patent documents  
cited in the above-mentioned inter-  
national search report. The Office is  
in no way liable for these particulars  
which are given merely for the purpose  
of information.

La présente annexe indique les  
membres de la famille de brevets  
relatifs aux documents de brevets cités  
dans le rapport de recherche inter-  
national visée ci-dessus. Les renseigne-  
ments fournis sont donnés à titre indica-  
tif et n'engagent pas la responsabilité  
de l'Office.

Im Recherchenbericht angeführtes Patentdokument Patent document cited in search report Document de brevet cité dans le rapport de recherche	Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication
EP A1 164012	11-12-85	CA A1 1222322 DE C0 3572554 EP B1 164012 JP A2 60262284 JP B4 1057394 US A 4608489	26-05-87 28-09-89 23-08-89 25-12-85 05-12-89 26-08-86
EP A2 384955	05-09-90	EP A3 384955 JP A2 2268382	16-10-91 02-11-90
EP A1 152733	28-08-85	DE C0 3566053 EP B1 152733 JP A2 60178587 JP B4 1032550 US A 4591242	08-12-88 02-11-88 12-09-85 05-07-89 27-05-86
EP A2 249713	23-12-87	CA A1 1248230 EP A3 249713 JP A2 62293489 US A 4748316	03-01-89 18-10-89 21-12-87 31-05-88
EP A1 517956	16-12-92	CA AA 2058066 US A 5210398	15-12-92 11-05-93
EP 5135160		keine - none - rien	
EP A2 524653	27-01-93	CA AA 2074653 JP A2 5035905	27-01-93 12-02-93

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**